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MAR 1 5 2002N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Mitchell C. Sanders

Application No.:

09/848,780

Group Art Unit:

1645

Filed:

May 3, 2001

Examiner:

Not yet assigned

Title:

A Method and Device for Improving Protein Stability and Solubility

## **INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents P.O. Box 2327 Arlington, VA 22202

RECEIVED

Sir:

MAR 1 9 2002

This Information Disclosure Statement is submitted: [ ] under 37 CFR 1.129(a), or	TC 1700
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(First/Second submission after Final Rejection)

[X]under 37 CFR 1.97(b), or

> (Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a nonvisional application, including a

	CPA, or a Request for Continued Examination).			
[]	under 37 CFR 1.97(c) together with either:			
	[ ] a Statement under 37 CFR 1.97(e), as checked below, or			
	[ ] a \$180.00 fee under 37 CFR 1.17(p), or (After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)			
[]	under 37 CFR 1.97(d) together with:			
	[ ] a Statement under 37 CFR 1.97(e), as checked below, and			
	[ ] a \$180.00 fee under 37 CFR 1.17(p), or (Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)			

[ ] under 37 CFR 1.97(i): Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper. (Filed after payment of issue fee)

Statem	ent Unc	ler 37 CFR 1.97(e)				
[ ]	Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or					
[ ]	No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.					
Statem	ent Unc	(Patent Term Adjustment) Applies to original applications (other than design) filed on or after May 29, 2000				
[ ]	Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.					
[X]	Enclosed herewith is form PTO-1449:					
	[X]					
[ ] Copies of cited references are enclosed except those entered in prior		Copies of cited references are enclosed except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]				
[ ] The listed references were cited in the enclosed International Search Report in a counterpart foreign application.						
	The "concise explanation" requirement (non-English references) for reference(s) [ under 37 CFR 1.98(a)(3) is satisfied by:					
		[ ] the explanation provided on the attached sheet.				
		[ ] the explanation provided in the Specification.				
		[ ] submission of the enclosed International Search Report.				
		[ ] submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.				
		[ ] the enclosed English language abstract.				

[]	App	olicant requests that the following r	non-published pending appli	cations be considered:	
Examiner's Initials	<b>3</b>				
		U.S. Patent Application No. [	], by [inventor(s)], filed [	], Docket No.: [ ]	
		U.S. Patent Application No. [	], by [inventor(s)], filed [	], Docket No.: [ ]	
	-	U.S. Patent Application No. [	], by [inventor(s)], filed [	], Docket No.: [ ]	
		Examiner	Date	_	
	[ ]	A copy of each above-cited app	olication, including the curre	ent claims, is enclosed.	
	[ ]	A copy of each above-cited app those entered in prior application 35 U.S.C. 120 is claimed.	olication, including the curre on, U.S. Application No. [	ent claims, is enclosed, except ], to which priority under	
The E	xamii nces v	ner is requested to return a copy of were considered with the next offic	the above list of pending ap e communication.	plications indicating which	
It is re	quest	ed that the information disclosed h	erein be made of record in t	his application.	
Metho	od of j	payment:			
[ ]	A cl	heck for the fee noted above is encompanying Reply. A copy of this S	losed, or the fee has been incatatement is enclosed.	cluded in the check with the	
[ ]	Plea encl	e charge Deposit Account 08-0380 in the amount of \$[ ]. A copy of this Statement is sed.			
[X]	Plea	ase charge any deficiency in fees ar	d credit any overpayment to	Deposit Account 08-0380.	
		I	Respectfully submitted,		
		I	HAMILTON, BROOK, SMI	TH & REYNOLDS, P.C.	
		F	Doreen M. Hogle Registration No.: 36,361 Telephone: (978) 341-0136	5	

Concord, MA 01742-9133 Dated: March 11, 2002